

# Annexure - VI

## FORM NO. MGT-9

### EXTRACT OF ANNUAL RETURN

As on the financial year ended on March 31, 2021

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

#### I. REGISTRATION AND OTHER DETAILS:

i)	CIN	L24231GJ1992PLC017315
ii)	Registration Date	17/03/1992
iii)	Name of the Company	Heranba Industries Limited
iv)	Category / Sub-Category of the Company	Company Limited by Shares/Indian Non-Government Company
v)	Address of the Registered office and contact details	PLOT NO 1504/1505/1506/1 GIDC, PHASE-III, VAPI-VALSAD -396195 GJ
vi)	Whether listed company	YES
vii)	Name, Address and Contact details of Registrar and Transfer Agent, if any	BIGSHARE SERVICES PRIVATE LIMITED 1ST Floor , Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri-East, Mumbai-400059

#### II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

Sr. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Manufacture of Pesticide/Insecticide Products	2421	100%

#### III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:

A Wholly Owned Subsidiary Company was incorporated in china under the name of Chang Zhou Heranba Crop Science and Technology Co., Ltd. The Company shall subscribe to all the Share Capital of the aforesaid Subsidiary Company in China however till date the Company has not yet remitted the fund to subscribe to the Subscribed Capital of the aforesaid Subsidiary Company in China.

#### IV. SHAREHOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF THE TOTAL EQUITY)

##### i) Category-wise Share Holding

Category of Shareholders	No of Shares held								% Change during the year	
	Beginning of the year 1 <sup>st</sup> April 2020				End of the year 31 <sup>st</sup> March, 2021					
	Demat	Physical	Total	% of total share	Demat	Physical	Total	% of total share		
<b>(A) Promoters (including Promoter Group)</b>										
<b>(1) Indian</b>										
(a)	Individuals/ Hindu Undivided Family	3,76,30,280	-	3,76,30,280	96.35	2,90,58,242	-	2,90,58,242	72.62	(23.73)
(b)	Bodies Corporate	14,26,250	-	14,26,250	3.65	6,13,288	-	6,13,288	1.53	(2.12)
(e)	Any Other (Trust)	-	-	-	-	-	-	-	-	-
<b>Sub-total (A) (1)</b>		<b>3,90,56,530</b>	<b>-</b>	<b>3,90,56,530</b>	<b>100%</b>	<b>2,96,71,530</b>	<b>-</b>	<b>2,96,71,530</b>	<b>74.15</b>	<b>(25.85)</b>

<b>(2) Foreign</b>										
(a) NRIs – Individuals	-	-	-	-	-	-	-	-	-	-
(b) Bodies Corporate	-	-	-	-	-	-	-	-	-	-
(c) Banks/ Financial Institutions	-	-	-	-	-	-	-	-	-	-
(d) Any Other (specify)	-	-	-	-	-	-	-	-	-	-
<b>Sub-total (A) (2)</b>	-	-	-	-	-	-	-	-	-	-
<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2)</b>	<b>3,90,56,530</b>	<b>-</b>	<b>3,90,56,530</b>	<b>100%</b>	<b>2,96,71,530</b>	<b>-</b>	<b>2,96,71,530</b>	<b>74.15</b>	<b>(25.85)</b>	
<b>(B) Public Shareholding</b>										
<b>(1) Institutions</b>	-	-	-	-	-	-	-	-	-	-
(a) Mutual Fund	-	-	-	-	604384	-	604384	1.51	1.51	
(b) Alternate Investment Funds	-	-	-	-	661044	-	661044	1.65	1.65	
(c) Foreign Portfolio Investors	-	-	-	-	1540387	-	1540387	3.85	3.85	
(d) Insurance Companies	-	-	-	-	657113	-	657113	1.64	1.64	
(e) Central Government / State Government	-	-	-	-	-	-	-	-	-	
(f) Venture Capital Fund	-	-	-	-	-	-	-	-	-	
(g) Any other	-	-	-	-	-	-	-	-	-	
<b>Sub-total (B) (1)</b>	-	-	-	-	<b>34,62,928</b>	-	<b>34,62,928</b>	<b>8.65</b>	<b>8.65</b>	
<b>(2) Non-Institutions</b>	-	-	-	-	-	-	-	-	-	-
(a) Bodies Corporate	-	-	-	-	-	-	-	-	-	-
i Indian	-	-	-	-	5,48,393	-	5,48,393	1.37	1.37	
ii Overseas	-	-	-	-	-	-	-	-	-	
(b) Individuals –										
i Individual shareholders holding nominal share capital upto ₹ 2 lakh	-	-	-	-	42,83,082	-	42,83,082	10.70	10.70	

ii	Individual shareholders holding nominal share capital in excess of ₹ 2 lakh	-	-	-	-	15,48,134	-	15,48,134	3.87	3.87
(c)	Any Other	-	-	-	-	-	-	-	-	-
	Clearing Members					2,94,643		2,94,643	0.74%	0.74%
	HUF					1,59,887	-	1,59,887	0.40	0.40
	Trusts					23	-	23	0.00005	0.00005
	Non-Resident Indian (NRI) (Non Repatriable)					12,983	-	12,983	0.032	0.032
	Non-Resident Indian (NRI) (Repatriable)					31,864	-	31,864	0.079	0.079
	<b>Sub-total (B) (2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>68,79,009</b>	<b>-</b>	<b>68,79,009</b>	<b>17.19</b>	<b>17.19</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,03,41,937</b>	<b>-</b>	<b>1,03,41,937</b>	<b>25.85</b>	<b>25.85</b>
(c)	Shares held by Custodian for GDRs	-	-	-	-	-	-	-	-	-
	<b>Grand Total (A)+(B)+(C)</b>	<b>3,90,56,530</b>	<b>-</b>	<b>3,90,56,530</b>	<b>100%</b>	<b>4,00,13,467</b>	<b>-</b>	<b>4,00,13,467</b>	<b>-</b>	<b>100</b>

## ii) Shareholding of Promoter (including Promoter Group)

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year 1 <sup>st</sup> April 2020			Shareholding at the end of the year 31 <sup>st</sup> March, 2021			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
<b>PROMOTERS</b>								
1.	Sadashiv K Shetty	1,30,51,796	33.42%	30%	72,01,796	18	-	(12)
2.	Raghuram K Shetty	1,41,21,984	36.16%	-	1,18,49,946	29.61	-	(6.55)
<b>PROMOTERS' GROUP</b>								
1	Sujata S Shetty	32,30,400	8.27%	-	32,30,400	8.07	-	(0.20)
2	Vanita R Shetty	20,18,000	5.17%	-	20,18,000	5.04	-	(0.13)
3	Sams Industries Ltd	14,26,250	3.65%	-	6,13,288	1.53	-	(2.12)
4	Raghuram K Shetty HUF	13,80,000	3.53%	-	1380000	3.45	-	(0.08)
5	Shreya S Shetty	9,42,500	2.41%	-	9,42,500	2.36	-	(0.05)
6	Shriraj S Shetty	8,42,500	2.16%	-	8,42,500	2.11	-	(0.05)
7	Raunak R Shetty	6,36,250	1.63%	-	6,36,250	1.59	-	(0.04)

8	Roshan R Shetty	6,36,250	1.63%	-	6,36,250	1.59	-	(0.04)
9	Sadashiv K Shetty HUF	3,20,600	0.82%	-	3,20,600	0.80	-	(0.02)
10	Babu K Shetty	1,50,000	0.38%	-	-	-	-	0.38%
11	Sandhya V Bhandary	1,50,000	0.38%	-	-	-	-	0.38%
12	Vittala K Bhandary	1,50,000	0.38%	-	-	-	-	0.38%
<b>TOTAL</b>		<b>3,90,56,530</b>	<b>100%</b>	<b>30%</b>	<b>2,96,71,530</b>	<b>74.15</b>	<b>-</b>	<b>(25.85)</b>

### iii) Change in Promoter's (including Promoter Group) Shareholding

	Shareholding at the beginning of the year 1 <sup>st</sup> April 2020		Cumulative Shareholding during the year 31 <sup>st</sup> March, 2021	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
<b>At the beginning of the year</b>	<b>3,90,56,530</b>	<b>100</b>	<b>3,90,56,530</b>	<b>100</b>
Date wise Increase /decrease in promoters shareholding during the year specifying the reason for such increase /decrease	(9385000)	(25.85)	(9385000)	(25.85)
Share Transfers( Pursuant to offer for sale and reclassification of Promoter Group)				
<b>At the end of the year</b>	<b>2,96,71,530</b>	<b>74.15</b>	<b>2,96,71,530</b>	<b>74.15</b>

### iv) Shareholding Pattern of top ten Shareholders:

#### (Other than Directors, Promoters and Holders of GDRs and ADRs):

There are no Shareholders other than Directors and Promoters who hold more than 5% of shares in the company.

### (V) SHAREHOLDING OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

For each of Directors and KMP	Shareholding at the beginning of the year 1 <sup>st</sup> April 2020		Date wise Increase / decrease in promoters shareholding during the year specifying the reason for such increase /decrease Share Transfers (Pursuant to offer for sale)		Cumulative Shareholding at the end of the year 31 <sup>st</sup> March, 2021	
	No of Shares	% of total shares of the Company	Share Transfers (Pursuant to offer for sale) As on 02/03/2021	% of total shares of the Company	No of Shares	% of total shares of the Company
<b>DIRECTORS</b>						
<b>At the Beginning Of the year</b>						
Mr. Sadashiv K Shetty	1,30,51,796	33.42%	(58,50,000)	(14.62)	72,01,796	18
Mr. Raghuram K Shetty	1,41,21,984	36.16%	(22,72,038)	(5.67)	1,18,49,946	29.61
Mrs. Sujata S Shetty	32,30,400	8.27%	-	-	32,30,400	8.07
Mrs. Vanita R K Shetty	20,18,000	5.17%	-	-	20,18,000	5.04
Mr. Raunak R Shetty	6,36,250	1.63%	-	-	6,36,250	1.59

Mr. Kaushik H Gandhi	-	-	-	-	-	-
Mr. Mulky V Shetty	-	-	-	-	-	-
Mr. Anilkumar M Marlecha	-	-	-	-	-	-
Mr. Ganesh N Vanmali	-	-	-	-	-	-
Mr. Nimesh S Singh	-	-	-	-	-	-
<b>KMP</b>						
Mr. Maheshwar V Godbole	-	-	-	-	-	-
Mr. Abdul Latif	-	-	-	-	-	-
<b>Total</b>	<b>33058430</b>	<b>84.64</b>	<b>(81,22,038)</b>	<b>(20.29)</b>	<b>24936392</b>	<b>62.32</b>

**INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(₹ in million)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total
<b>Indebtedness at the beginning of the financial year</b>				
(i) Principal Amount	430.72	0.07	-	430.79
(ii) Interest due but not paid	-	-	-	-
(iii) Interest accrued but not due	-	-	-	-
<b>Total (i + ii + iii)</b>	<b>430.72</b>	<b>0.07</b>	<b>-</b>	<b>430.79</b>
<b>Change in Indebtedness during the financial year</b>				
• Addition	72.07	-	-	72.07
• Reduction	-	0.07	-	0.07
Net Change	72.07	0.07	-	72.00
<b>Indebtedness at the end of the financial year</b>				
(i) Principal Amount	502.79	-	-	502.79
(ii) Interest due but not paid	-	-	-	-
(iii) Interest accrued but not due	-	-	-	-
<b>Total (i + ii + iii)</b>	<b>502.79</b>	<b>-</b>	<b>-</b>	<b>502.79</b>

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

(₹ in million)

Particulars of Remuneration	Mr. Sadashiv K Shetty	Mr. Raghuram K Shetty	Mrs. Sujata S Shetty	Mrs. Vanita R Shetty	Mr. Raunak R Shetty	Total
<b>Gross Salary</b>						
Salary as per provisions contained in Section 17(1) of the Income Tax, Act 1961	15.55	13.82	1.32	1.32	1.45	33.46
Value of perquisites u/s 17(2) of the Income Tax Act, 1961	Nil	Nil	Nil	Nil	Nil	Nil
Profits in lieu of salary under Section 17(3) of the Income Tax, Act 1961	Nil	Nil	Nil	Nil	Nil	Nil
Stock Option	Nil	Nil	Nil	Nil	Nil	Nil

Sweat Equity	Nil	Nil	Nil	Nil	Nil	Nil
Commission ( % of the Profit)	Nil	59.98	Nil	Nil	Nil	59.98
Others (retirement benefits)	Nil	Nil	Nil	Nil	Nil	Nil
<b>TOTAL (A)</b>	<b>15.55</b>	<b>73.80</b>	<b>1.32</b>	<b>1.32</b>	<b>1.45</b>	<b>93.44</b>

**B. Remuneration to other Non Executive Independent Directors**

(₹ in million)

Particulars of Remuneration	Name of Directors					Total Amount
	Mr. Kaushik H Gandhi	Mr. Anilkumar Marelecha	Mr. Ganesh N Vanmali	Mr. Nimesh S Singh	Mr. Mulky V Shetty	
Fees for attending Board/ Committee Meeting	0.10	0.09	0.10	0.09	0.10	0.48
Commission	Nil	Nil	Nil	Nil	Nil	Nil
Others Please Specify	Nil	Nil	Nil	Nil	Nil	Nil
<b>TOTAL (B)</b>	<b>0.10</b>	<b>0.09</b>	<b>0.10</b>	<b>0.09</b>	<b>0.10</b>	<b>0.48</b>

(₹ in million)

Particulars of Remuneration	Key Managerial Personnel (KMP)		Total Amount
	Mr. Maheshwar V Godbole	Mr. Abdul Latif	
Salary as per provisions contained in Section 17(1) of the Income Tax, Act 1961	3.03	2.02	5.05
Value of perquisites u/s 17(2) of the Income Tax Act, 1961	Nil	Nil	Nil
Profits in lieu of salary under Section 17(3) of the Income Tax, Act 1961	Nil	Nil	Nil
Stock Option	Nil	Nil	Nil
Sweat Equity	Nil	Nil	Nil
Commission (% of Profit)	Nil	Nil	Nil
Others (retirement benefits)	Nil	Nil	Nil
<b>TOTAL (C)</b>	<b>3.03</b>	<b>2.02</b>	<b>5.05</b>

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

\*There were no Penalties/ Punishments/ Compounding of Offences initiated against the Company, Directors and Other Officers in Default.

For **Heranba Industries Limited****Sadashiv.K .Shetty**

Chairman

DIN: 00038681

Date: 11-06-2021

Place: Mumbai

**Raghuram. K. Shetty**

Managing Director

DIN: 00038703

Date: 11-06-2021

Place: Mumbai